

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

2369-1-002

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

INTERNATIONAL APPLICATION NO.
PCT/GB98/03530INTERNATIONAL FILING DATE
26 November 1998PRIORITY DATE CLAIMED
26 November 1997TITLE OF INVENTION
HISTAMINE AND SEROTONIN BINDING MOLECULESAPPLICANT(S) FOR DO/EO/US
Patricia Ann Nuttall and Guido Christian Paesen

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Thirty One (31) Sheets of formal drawings (Figs. 1-22); Copies of Written Opinion; International Search Report; International Preliminary Examination Report

EXPRESS MAIL "MAILING CERTIFICATE NO.": EL485953828US DATE OF DEPOSIT: MAY 26, 2000

U.S. APPLICATION NO. (If known, see 37 C.F.R.

INTERNATIONAL APPLICATION NO.
PCT/GB98/03530ATTORNEY'S DOCKET NUMBER
2369-1-00217. ☒ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO \$840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than
months from the earliest claimed priority date (37 CFR 1.492(e)).☐ 20 ☐ 30

\$

Claims

Number Filed

Number Extra

Rate

Total Claims 139 - 20 = 119 X \$ 18.00 \$ 2,142.00

Independent Claims 4 - 3 = 1 X \$ 78.00 \$ 78.00

Multiple dependent claim(s) (if applicable)

+ \$260.00

\$ 260.00

TOTAL OF ABOVE CALCULATIONS =

\$ 3,320.00

Reduction for 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be
filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$ 3,320.00

Processing fee of \$130.00 for furnishing the English translation later than
months from the earliest claimed priority date (37 CFR 1.492(f)).☐ 20 ☐ 30

+

\$

TOTAL NATIONAL FEE =

\$ 3,320.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 3,320.00

Amount to be:
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 3,320.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 11-1153 in the amount of \$ to cover the above fees. A duplicate copy of this
sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit
Account No. 11-1153. A duplicate copy of this sheet is enclosed.NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be
filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

DAVID A. JACKSON
KLAUBER & JACKSON
411 HACKENSACK AVENUE
4TH FLOOR
HACKENSACK, NEW JERSEY 07601

SIGNATURE

NAME

David A. Jackson

REGISTRATION NUMBER 26,742

EXPRESS MAIL "MAILING CERTIFICATE NO.": EL485953828US DATE OF DEPOSIT: MAY 26, 2000

402 Rec'd PCT/PTO
KLAUBER & JACKSON

3 JUL 2000

ATTORNEYS AT LAW

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June 27, 2000

Assistant Commissioner for Patents
Box PCT
Washington, DC 20231

TRANSMITTAL OF VERIFIED STATEMENT
CLAIMING SMALL ENTITY STATUS

Re: U.S. Patent Application
Applicants : Patricia Anne NUTTALL and Guido Christiaan
PAESEN
Title : HISTAMINE AND SEROTONIN BINDING
MOLECULES
Serial No. : 09/555,296
Filed : May 26, 2000
Our File : 2369-1-002

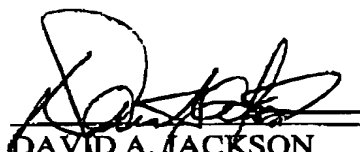
EXPRESS MAIL "CERTIFICATE MAILING NO." : EL629424342US
DATE OF DEPOSIT : JUNE 27, 2000

Dear Sir:

Applicants herewith submit a Verified Statement Claiming Small Entity Status on behalf a Small Business Concern for filing in the above referenced application.

Due entry and filing hereof and a change on the record of the Small Entity Status of the Applicant are accordingly requested.

Respectfully submitted,



DAVID A. JACKSON
Attorney for Applicant(s)
Registration No. 26,742

DAJ/lis
Enclosure

EL485953828US

Mailed 5/26/00

Serial No. PCT/GB98/03530 File No. 2369-1-002 By DAJ/1s
Title HISTAMINE AND SEROTONIN BINDING MOLECULES
In the Matter of the Application of Patricia Ann Nuttall et al
The following was received in the U.S. Patent & Trademark Office on the date
stamped hereon: VIA EXPRESS MAIL #EL485953828US

- ☒ Transmittal Sheet/Cover Ltr. in dupl. ☐ Extension of Time
☒ Application For Patent ☐ Issue Fee Transmittal
☒ Declaration ☐ Affidavit ☐ Maintenance Fee
☒ Drawings ☒ Sheet(s) Figs. 1-22 ☐ Appin. TM Registration
☐ S.E. Verified Statements ☐ 8 & 15 Declaration
☐ Assignment ☐ Renewal Application
☒ Check for \$ 3,320.00 ☐ Notice of Appeal
☐ Letter ☐ Missing Parts ☐ Brief ☐ Petition
☐ Priority Document ☐ Power of Attorney
☒ Amendment ☐ Response ☒ copy of Intern'l Search
PRELIMINARY report; Prel. Exam Report; Written
Opinion

Security enhanced document. See back for details.

KLAUBER AND JACKSON
SPECIAL ACCOUNT
411 HACKENSACK AVENUE
HACKENSACK, NJ 07601

11538

55-216/212
11

DATE May 26, 2000

COMMISSIONER OF PATENTS AND TRADEMARKS

PAY
TO THE
ORDER OF

\$ 3,320.⁰⁰/₁₀₀

Three Thousand Three Hundred Twenty and ⁰⁰/₁₀₀ — DOLLARS

THIS CHECK IS DELIVERED IN CONNECTION WITH THE FOLLOWING ACCOUNTS

2369-1-002			
Filing Fee			

Michael M. Cobe

⑈011538⑈ ⑆021202162⑆ 134⑈00688 7⑈

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SUMMIT
BANK

401 Hackensack Ave.
Hackensack, N.J. 07601

402 Rec'd PCT/EP 3 JUL 2000
KLAUBER & JACKSON

#3

ATTORNEYS AT LAW

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June 27, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Refund Section
Crystal Park 1
Room 800

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on June 27, 2000.

David A. Jackson, Reg. No. 26,742
(Name of Registered Representative)

David A. Jackson 6/27/00
(Signature and Date)

REQUEST FOR REFUND UPON PROOF OF
SMALL ENTITY STATUS

Re: U.S. Patent Application
Applicant(s) : Patricia Anne NUTTALL and Guido Christiaan
PAESEN
Title : HISTAMINE AND SEROTONIN BINDING
MOLECULES
Serial No. : 09/555,296
Filed : May 26, 2000
Our File : 2369-1-002

Dear Sir/Madam:

Request is herein made for a refund of fees payable to the PCT Office at the U.S. Patent and Trademark Office, upon submission of a Verified Statement establishing the Small Entity Status of the Applicant, or of those having an interest in the subject invention.

2000 JUL 10 10 35

RECEIPT ACCOUNTING
DIVISION

Assistant Commissioner for Patents
June 27, 2000
Page 2

Attorney Docket: 2369-1-002

This refund is requested pursuant to 37 C.F.R. 1.28 as amended, effective April 1, 1984.

In this situation, the Filing Fees were paid by Check No. 11538, dated May 26, 2000, in the amount of \$3,320.00. Copies of the following are attached:

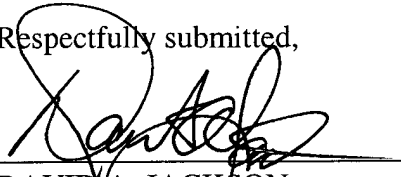
1. Form PTO-1390 submitted at filing;
2. Check No. 11538, along with postcard; and
3. Return postcard receipt from the USPTO.

Applicants are submitting the above request for refund within the deadline for doing so and by the filing concurrently herewith of a Verified Statement Claiming Small Entity Status. A copy of this concurrent filing is also enclosed.

In view of the circumstances set forth above and established by the documents attached hereto, Applicant by the undersigned, requests that the amount of \$1,660.00 be credited to the deposit account of the undersigned, Deposit Account No. 11-1153.

This Request is submitted in duplicate, and the undersigned requests confirmation of the grant of this request and attention to the crediting of the stated Deposit Account.

Respectfully submitted,



DAVID A. JACKSON
Attorney for Applicant(s)
Registration No. 26,742

DAJ:ls
Enclosures